

4.2 CORRESPONDENCE / LOCAL ADDRESS DETAILS* (Please refer instruction E at the end)

Same as Current / Permanent / Overseas Address details (In case of multiple correspondence / local addresses, please fill "Annexure A1")

Line 1*

Line 2

Line 3 City / Town / Village*

District* Pin / Post Code* State / U.T. Code* ISO 3166 Country Code*

4.3 ADDRESS IN THE JURISDICTION DETAILS WHERE APPLICANT IS RESIDENT OUTSIDE INDIA FOR RAX PURPOSES* (Applicable if section 2 is ticked)

Same as Current / Permanent / Overseas Address details Same as Correspondence / Local Address details

Line 1*

Line 2

Line 3 City / Town / Village*

District* Pin / Post Code* State / U.T. Code* ISO 3166 Country Code*

5. CONTACT DETAILS (All communications will be sent on provided Mobile no. / Email ID) (Please refer instruction F at the end)

Tel. (Off) - Tel. (Res) - Mobile -

Fax - Email ID

6. DETAILS OF RELATED PERSON (In case of additional related persons, please fill 'Annexure B1') (Please refer instruction F at the end)

Addition of Related Person Deletion of Related Person KYC Number of Related Person (if available*)

Related Person Type* Guardian of Minor Assignee Authorized Representative

Name* Prefix First Name Middle name last name

(If KYC number and name are provided, below details of section 6 are optional)

PROOF OF IDENTITY (PoI) OF RELATED PERSON* (Please refer instruction H at the end)

A - Passport Number Passport Expiry date --

B - Voter ID card

C - PAN card

D - Driving Licence Driving Licence Expiry Date --

E - UID (Aadhaar)

F - NREGA Job card

Z - Others (any document notified by the central government) Identification Number

S - Simplified Measures Account - Document Type code Identification Number

7. REMARKS (If any)

8. APPLICANT DECLARATION

● I hereby declare that the details furnished above are true and correct to the best of my knowledge and belief and I undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am aware that I may be held liable for it.

● I hereby consent to receiving information from Centrak KYC Registry through SMS/Email on the above registered number/email address.

Date : -- Place :



Signature / Thumb Impression of Applicant

9. ATTESTATION / FOR OFFICE USE ONLY

Documents Received Certified Copies

KYC VERIFICATION CARRIED OUT BY

Date --

Emp. Name

Emp. Code

Emp. Designation

Emp. Branch

(Employee Signature)

INSTITUTION DETAILS

Name : VRAMATH FINANCIAL SERVICES PVT. LTD.

Code :

[INSTITUTION STAMP]

For Individuals only Additional KYC Form for Opening a Demat Account

VRAMATH FINANCIAL SERVICES PVT. LTD.

Depository Participant : CDSL Sebi Regn. No. : IN-DP-CDSL-383-2018

Regd. Office : 5K, Fifth Floor, B-Block, "Gowri Chitra Gardens",
No.88/4, Arcot Road, Vadapalani, Chennai - 600 026.
Phone : 044 - 4201 3026 Telefax : 044 - 4204 8693
E-mail : dp@vramath.com Web : www.vramath.com

DP Location : 218/7, III Floor, Brindaban,
TV Swamy Road East, R.S.Puram,
Coimbatore - 641 002.
Phone : 0422 - 4332342, 4332343
Mobile : 90944 66605

(To be filled by the Depository Participant)

Application No.		Date												
DP Internal Reference No.														
DP ID	1	2	0	7	8	0	0	0	Client ID					

(To be filled by the applicant in BLOCK LETTERS in English)

(To be filled by the applicant in BLOCK LETTERS in English)

I/We request you to open a demat account in my/ our name as per following details :-

Holders Details

Sole/ First Holder's Name	PAN													
	UID													
Second Holder's Name	PAN													
	UID													
Third Holder's Name	PAN													
	UID													

Name *	
* In case of Firms, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., Although the account is opened in the name of natural persons, the name of the Firm, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., Should be mentioned above.	

Type of Account (Please tick whichever is applicable)

Status	Sub - Status	
<input type="checkbox"/> Individual	<input type="checkbox"/> Individual Resident <input type="checkbox"/> Individual Director's Relative <input type="checkbox"/> Individual Promoter <input type="checkbox"/> Individual Margin Trading A/c (MANTRA)	<input type="checkbox"/> Individual - Director <input type="checkbox"/> Individual HUF / AOP <input type="checkbox"/> Minor <input type="checkbox"/> Others (specify) _____
<input type="checkbox"/> NRI	<input type="checkbox"/> NRI Repatriable <input type="checkbox"/> NRI Repatriable Promoter <input type="checkbox"/> NRI - Depository Receipts	<input type="checkbox"/> NRI Non-Repatriable <input type="checkbox"/> NRI Non-Repatriable Promoter <input type="checkbox"/> Others (specify) _____
<input type="checkbox"/> Foreign National	<input type="checkbox"/> Foreign National <input type="checkbox"/> Foreign National - Depository receipts	<input type="checkbox"/> Others(specify) _____

Details of Guardian (Incase the account holder is a minor)

Guardian's Name		PAN	
Relationship with the Applicant			

I / We instruct the DP to receive each and every credit in my / our account (If not marked, the default option would be "Yes")	[Automatic Credit] <input type="checkbox"/> Yes <input type="checkbox"/> No
I/We would like to instruct the DP to accept all the pledge instructions in my / our account without any other further instruction from my / our end (If not marked, the default option would be "No")	<input type="checkbox"/> Yes <input type="checkbox"/> No
Account Statement Requirement	<input type="checkbox"/> As per SEBI Regulation <input type="checkbox"/> Daily <input type="checkbox"/> Weekly <input type="checkbox"/> Fortnightly <input type="checkbox"/> Monthly
I / We request you to send Electronic Transaction-cum-Holding statement at the email ID	<input type="checkbox"/> Yes <input type="checkbox"/> No
I / We would like to receive the Copy of Charges for Depository Services - Schedule A & Rights and Obligations of Beneficial Owner and Depository Participant as prescribed by SEBI and Depositories.	<input type="checkbox"/> Physical <input type="checkbox"/> Electronic
I / We would like to share the email ID with the RTA	<input type="checkbox"/> Yes <input type="checkbox"/> No
I / We would like to receive the Annual Report (Tick the applicable box. If not marked, the default option would be in Physical)	<input type="checkbox"/> Physical <input type="checkbox"/> Electronic <input type="checkbox"/> Both Physical and Electronic
I/We wish to receive dividend / interest directly in to my/our bank account given below through ECS? (If not marked, the default option would be 'Yes') [ECS is mandatory for locations notified by SEBI from time to time]	<input type="checkbox"/> Yes <input type="checkbox"/> No

 **Sl**





Signatures

Sole / First Holder

Second Holder

Third Holder

Bank Details (Dividend Bank Details) :

Bank Code (9 digit MICR code)							
IFS Code (11 character)							
Account Number							
Account Type	<input type="checkbox"/> Savings	<input type="checkbox"/> Current	<input type="checkbox"/> Others (Specify) _____				
Bank Name							
Branch Name							
Bank Branch Address							
	City				State		
	Country				Pincode		

Submit Bank proof as per following :

- (i) Photocopy of the cancelled cheque having the name of the account holder where the cheque book is issued, (or)
 - (ii) Photocopy of the Bank Statement having name and address of the BO, (or)
 - (iii) Photocopy of the Passbook having name and address of the BO, (or)
 - (iv) Letter from the Bank.
- ⇒ In case of options (ii), (iii) and (iv) above, MICR code of the branch should be present / mentioned on the document and it should be self-certified by the BO.

Other Details

Gross Annual Income Details

Income Range per annum : <input type="checkbox"/> Up to Rs.1 Lac <input type="checkbox"/> Rs.1-5 Lac <input type="checkbox"/> Rs.5-10 Lac <input type="checkbox"/> Rs.10-25 Lac <input type="checkbox"/> More than Rs.25 Lac	
Networth as on (date) _____ (Rs. _____) (Net worth should not be older than 1 year)	
Occupation (Please tick any one)	<input type="checkbox"/> Private / Public Sector <input type="checkbox"/> Govt. Service <input type="checkbox"/> Business <input type="checkbox"/> Professional <input type="checkbox"/> Agriculture <input type="checkbox"/> Retired <input type="checkbox"/> Housewife <input type="checkbox"/> Student <input type="checkbox"/> Others (Specify) _____
Please Tick, if Applicable	<input type="checkbox"/> Politically Exposed Person (PEP) / <input type="checkbox"/> Related to a Politically Exposed Person (RPEP)
Any other information :	

Account to be operated through Power of Attorney (POA)	<input type="checkbox"/> Yes <input type="checkbox"/> No
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SMS Alert Facility Refer to Terms & Conditions given as Annexure - 2.4	Mobile No. +91 _____ [Mandatory, if you are giving Power of Attorney (POA)] (If POA is not granted & you do not wish to avail of this facility, cancel this option)	<input type="checkbox"/> Yes <input type="checkbox"/> No
	I wish to avail the TRUST facility Using the Mobile number registered for SMS Alert Facility. I have read and understood the Terms and Conditions prescribed by CDSL for the same. <input type="checkbox"/> Yes <input type="checkbox"/> No	
Transactions Using Secured Texting Facility (TRUST), Refer to Terms & Conditions Annexure - 2.6	I/We wish to register the following clearing member Ids under my/our below mentioned BO ID registered for TRUST	
	Stock Exchange Name/ID	Clearing Member Name
		Clearing Member ID (Optional)
Easi	To register for easi , please visit CDSL's website : www.cdslindia.com Easi allows a BO to view his ISIN balances, transactions and value of the Portfolio online.	<input type="checkbox"/> Yes <input type="checkbox"/> No

Form for Nomination

Nomination Details

Nomination Registration No.	Dated

I / We the Sole holder / Joint holders / Guardian (in case of minor) hereby declare that :

- I / We **do not wish to nominate any one for this demat account.**
- I / We **nominate** the following person(s) who is/are entitled to receive security balances lying in my / our account, particulars whereof are given below, in the event of my / our death.

Nomination Details	Nominee 1	Nominee 2	Nominee 3
Nominee Name :			
*First Name :	_____	_____	_____
Middle Name :	_____	_____	_____
*Last Name	_____	_____	_____
Nomination Details	Nominee 1	Nominee 2	Nominee 3
*Address			
*City			
*State			
*Pin			
*Country			
Telephone No.			
FAX No.			
PAN No.			
UID			
Email ID			
*Relationship with the BO			
Date of birth (Mandatory if Nominee is a minor) dd-mm-yyyy			
Name of the Guardian of Nominee (if nominee is a minor)			
*First Name :	_____	_____	_____
Middle Name :	_____	_____	_____
*Last Name	_____	_____	_____
*Address of the guardian of nominee			
*City			
*State			
*Pin			
*Country			
Age			
Telephone			
Fax No.			
Email ID			

Nomination Details	Nominee 1	Nominee 2	Nominee 3
*Relationship of the Guardian with the Nominee			
*Percentage of allocation of securities			
*Fractional allocation of the securities if any [Please tick the respective nominee, (any one) if tick not marked default will be first nominee]	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Note : The nominee residual securities: incase of multiple nominees, please choose any one nominee who will be credited with residual securities remaining after distribution of securities as per percentage of allocation. If you fail to choose one such nominee, then the first nominee will be marked as nominee entitled for residual shares, if any.




*** Marked is Mandatory field**

This nomination shall supersede any prior nomination made by me / us and also any testamentary document executed by me us.

Note : One witness shall attest signature(s) / thumb impression(s)

	WITNESS
NAMES OF WITNESS	
ADDRESS OF WITNESS	
SIGNATURE OF WITNESS	

I/We have 'Rights and Obligations of BO-DP' including the schedules thereto and the terms & conditions and agree to abide by and be bound by the same and by the Bye Laws as are in force from time to time. I / We declare that the particulars given by me/us above are true and to the best of my/our knowledge as on the date of making this application. I/We agree and undertake to intimate the DP any change(s) in the details / Particulars mentioned by me / us in this form. I/We further agree that any false / misleading information given by me / us or suppression of any material information will render my account liable for termination and suitable action.

Signatures	 <i>S1b</i> First / Sole Holder or Guardian (in case of Minor)	 Second Holder	 Third Holder
Name			

(Signatures should be preferably in blue ink).

Kindly read the following instructions before filling up Nomination Details

1. The nomination can be made only by Individuals holding beneficiary owner accounts on their own behalf singly or jointly. Non-Individuals including society, trust, body corporate, partnership firm, karta of Hindu Undivided Family (HUF), Holder of Power of attorney cannot nominate. If the account is held jointly, all the joint holders must sign the nomination form.
2. Nomination can be made in favour of a Minor, in that event, the name and address of the Guardian of the minor nominee shall be provided by the beneficial owner(s). Guardian should be someone other than the holders of the beneficiary account.
3. The Nominee shall not be a trust, society, body corporate, partnership firm, karta of Hindu Undivided Family or a Family or a Power of attorney holder. A Non-resident Indian (NRI) can be Nominee subject to the RBI Regulations in force, from time to time.
4. Nomination in respect of the beneficiary owner account stands rescinded upon closure of the beneficiary owner account. Similarly the nomination in respect of the securities shall stand terminated upon transfer of the securities.
5. Transfer of securities in favour of a nominee shall be valid discharge by the Depository or Depository Participant against the legal heirs of the beneficial owner(s).
6. The cancellation of Nomination can be made by the same persons who made the original nomination. Non-individuals including society, trust, body corporate, partnership firm, karta of Hindu Undivided Family (HUF), Holder of Power of attorney cannot cancel the nomination. If the beneficiary owner account is held jointly, all the joint holders must sign the cancellation form.
7. On cancellation of nomination, the nomination shall stand rescinded and the Depository / Depository Participant shall not be under any obligation to transfer the securities in favour of the Nominee.
8. Nomination can be made upto three nominees in a demat account. In case of multiple nominees, the Client must specify the percentage of shares for each nominee that shall total upto hundred percent. In the event of the beneficiary owner not indicating any percentage of allocation/share for each of the nominees, the default option shall be to settle the claims equally amongst all the nominees.
9. In case you do not wish to nominate, we request you to tick the first option.

(To be filled by DP)

Nomination accepted and registered vide Registration No. _____ dated _____

For VRAMATH FINANCIAL SERVICES PVT. LTD.

Authorised Signatory

DECLARATIONS




I/We have received and read the Rights and Obligations document of Beneficial Owner and Depository Participant as prescribed by SEBI and Depositories and agree to abide by and be bound by the same and by the Bye Laws as are in force from time to time. I / We declare that the particulars given by me/us above are true and to the best of my/our knowledge as on the date of making this application. I / We agree and undertake to intimate the DP any change(s) in the details / Particulars mentioned by me / us in this form. I/We further agree that any false / misleading information given by me / us or suppression of any material information will render my account liable for termination and suitable action. In case of non-resident account, I/We also declare that I/We have complied and will continue to comply with FEMA regulations.

I/We hereby confirm that I/We will act on my/our own behalf and will continue to act to my/our behalf unless otherwise communicated in writing.

DECLARATIONS RE : PREVENTION OF MONEY LAUNDERING

Prevention of Money Laundering Act, 2002 (hereinafter referred to as "Act") came into effect from July 1, 2005 vide Notification No. GSR 438(E) dated July, 1 2005 issued by Department of Revenue, Ministry of Finance, Government of India. Further SEBI vide its circular reference number ISD/CIR/RR/AML/1/06 dated January 18, 2006 mandated that all intermediaries should formulate and implement a proper policy framework as per the guidelines on anti money laundering measures and also to adopt a Know Your Customer (KYC) policy. The intermediaries may, according to their requirements specify additional disclosures to be made by clients for the purpose of identifying , monitoring and reporting incidents of money laundering and suspicious transactions undertaken by clients. SEBI also issued another circular reference no. ISD/CIR/RR/AML/2/06 dated March 20, 2006 advising all intermediaries to take necessary steps to ensure compliance with the requirement of section 12 of the Act, interalia, maintenance and preservation of records and reporting of information relatinto cash and suspicious transactions to Financial Intelligence Unit - India (FIU-IND), New Delhi. The Investor should ensure that the amount invested in the securities and represented as credit in their demat account is through legitimate sources only and does not involve and is not designated for the purpose of any contravention or evasion of the provisions of the Income Tax Act, Prevention of Money Laundering Act, Prevention of Corruption Act and/or any other applicable law in force and also any laws enacted by the Government of India from time to time or any rules, regulations, notifications or directions issued thereunder.

To ensure appropriate identification of the customer(s) under its KYC policy and with a view to monitor transactions, VRAMATH FINANCIAL SERVICES PVT LTD - DP reserves the right to seek information, record customer's telephonic calls and/or obtain and retain documentation for establishing the identity of the customer, proof of residence, source of funds, etc. It may re-verify identity, seek and obtain any incomplete or additional information for this purpose.

Signatures	 <i>Sic</i> First / Sole Holder	 Second Holder	 Third Holder
Name			

(Signatures should be preferably in blue ink).

FACTA - CRS Declaration & Supplementary KYC Information Declaration Form for Individuals

Please consult a tax professional for further guidance on your tax residency
for FACTA & CRS compliance

1. PAN <input style="width: 100px; height: 20px; border: 1px solid black;" type="text"/>		OR PAN Exempt KYC Ref No. (PEKRN) _____
2. Name :		
3. Father's Name:		
4. Gender <input type="checkbox"/> Male <input type="checkbox"/> Female <input type="checkbox"/> Other	5. Nationality <input type="checkbox"/> Indian <input type="checkbox"/> U.S. <input type="checkbox"/> Others (Please specify)	
6. Status: <input type="checkbox"/> Resident <input type="checkbox"/> NRI <input type="checkbox"/> Foreign National <input type="checkbox"/> Others (Please specify)		
7. Address Type [for KYC address] <input type="checkbox"/> Residential <input type="checkbox"/> Residential / Business <input type="checkbox"/> Business <i>Address of tax residence would be taken as available in KYC Registration Agency (KRA) database. In case of any change, please fill up and submit a separate KYC Change Request Form with supporting documents to update the changes</i>		
8. Place of Birth:	9. Country of Birth:	10. Date of Birth DD/MM/YYYY
11. Occupation Details [Please tick any one ()] <input type="checkbox"/> Business <input type="checkbox"/> Professional <input type="checkbox"/> Public Sector Service <input type="checkbox"/> Private Sector Service <input type="checkbox"/> Agriculturist <input type="checkbox"/> Student <input type="checkbox"/> Forex Dealer <input type="checkbox"/> Government Service <input type="checkbox"/> Housewife <input type="checkbox"/> Retired <input type="checkbox"/> Others [Please specify] _____		
12. Gross Annual Income in INR: <input type="checkbox"/> Below 1 Lakh <input type="checkbox"/> 1-5 Lakh <input type="checkbox"/> 5-10 Lakh <input type="checkbox"/> 10-25 Lakh <input type="checkbox"/> 25 Lakh-1crore <input type="checkbox"/> >1 Crore		
13. Net Worth (Optional) INR _____ Lakh Net Worth as of DD / MM / YYYY		
14. Are you a Politically Exposed Person [PEP] ⇔ <input type="checkbox"/> Yes <input type="checkbox"/> No		15. Are you Related to a PEP ⇔ <input type="checkbox"/> Yes <input type="checkbox"/> No

16. Are you a tax resident (i.e., are you assessed for Tax) in any other country outside India? ⇔ Yes No
 If 'YES', please provide the following details for ALL countries (other than India) in which you are a Resident for tax purposes i.e., where you are a Citizen / Resident / Green Card Holder / Tax Resident in the respective countries

S. No.	Country of Tax Residency #	Tax Payer Identification Number / Functional Equivalent	Identification Type [TIN or other, please specify]	If TIN is not available, please tick <input type="checkbox"/> the reason A, B or C [as defined below]
1				⇔ Reason <input type="checkbox"/> A <input type="checkbox"/> B <input type="checkbox"/> C
2				⇔ Reason <input type="checkbox"/> A <input type="checkbox"/> B <input type="checkbox"/> C
3				⇔ Reason <input type="checkbox"/> A <input type="checkbox"/> B <input type="checkbox"/> C

⇓ Reason A ⇔ The country where the Account Holder is liable to pay tax does not issue Tax Identification Numbers to its residents.
 ⇓ Reason B ⇔ The Account Holder is otherwise unable to obtain a TIN or equivalent number.
 (Please explain **below** why you are unable to obtain a TIN in the table below if you have selected this reason)

1	
2	

⇓ Reason C ⇔ No TIN required. (Select this reason Only if the authorities of the respective country of tax residence do not require the TIN to be disclosed)

Declaration:

I hereby confirm that the information provided hereinabove is true, correct, and complete to the best of my knowledge and belief and that I shall be responsible to the reporting agency which relies on this information for compliance with FATCA and CRS. I also confirm that I have read and understood the FATCA & CRS Terms and Conditions below and hereby accept the same. I hereby authorize you, the Mutual Fund /AMC/ RTA/ broker/DP or any other intermediary to disclose, share, rely, remit in any form, mode or manner, all / any of the information provided by me, including all changes, updates to such information as and when provided by me to / any of the Mutual Fund, its Sponsor, Asset Management Company, trustees, Brokers/DPS /any other intermediary, their employees / RTAs ('the Authorized Parties') to any Indian or foreign governmental or statutory or judicial authorities / agencies including but not limited to the Financial Intelligence Unit-India (FIU-IND), the tax / revenue authorities in India or outside India wherever it is legally required and other investigation agencies without any obligation of advising me of the same. Further, I authorize to share the given information to other SEBI Registered Intermediaries/ and / or to any other regulated intermediaries registered with any other authorities / regulator/s including RBI / IRDA / PFRDA to facilitate single submission / update & for other relevant purposes. I also undertake to keep you informed in writing about any changes / modification to the above information in future and also undertake to provide any other additional information as may be required at your/fund's end or by domestic or overseas regulators/ tax authorities. I/We authorize the Mutual Fund/AMC/RTA to provide relevant information to upstream payers to enable withholding to occur and pay out any sums from my account or close or suspend my account(s) without any obligation of advising me of the same

Date :

Place :

Signature : S2

VRAMATH FINANCIAL SERVICES PVT. LTD.

Depository Participant : CDSL Sebi Regn. No. : IN-DP-CDSL-383-2018

Regd. Office : 5K, Fifth Floor, B-Block, "Gowri Chitra Gardens", No.88/4, Arcot Road, Vadapalani,

Chennai - 600 026. Phone : 044 - 4201 3026 Telefax : 044 - 4204 8693

E-mail : dp@vramath.com Web : www.vramath.com



Application No. _____

CLIENT ID : _____

(Please fill in **ENGLISH** and in **BLOCK LETTERS**)

A. IDENTITY DETAILS (Please see guideliness overleaf)

1. **Name of Applicant** (Please write complete name as per Certificate of Incorporation/ Registration; leaving one box blank between 2 words. Please do not abbreviate the Name)

2. **Date of Incorporation** : _____ (dd/mm/yyyy) **Place of Incorporation** : _____

3. **Registration No. (e.g. CIN)** : _____ **Date of Commencement of business** : _____ (dd/mm/yyyy)

4. **Status** Please tick (✓) Private Ltd. Co. Public Ltd. Co. Body Corporate Partnership Trust/Charities/NGOs
 FI FII HUF AOP Bank Government Body Non-Government Organisation
 Defence Establishment Body of Individuals Society LLP Others (Please specify) _____

5. **Permanent Account Number (PAN) (MANDATORY)**: _____ Please enclose a duly attested copy of your PAN Card.

B. ADDRESS DETAILS (Please see guideliness overleaf)

1. **Address for correspondence** : _____

City/town/village: _____ Pincode: _____ State: _____ Country: _____

2. **Contact Details**: Tel.(Off.) _____ Tel.(Res.) _____ Mobile No: _____

Fax: _____ Email id : _____

3. **Proof of address submitted to be provided by Applicant. Please submit ANY ONE of the following valid document & tick (✓) against the document attached**

* Latest Telephone Bill (Only Landline) * Latest Electricity Bill * Latest Bank Account Statement Registered Lease / Sale Agreement of Office Premises
 Any other proof of address document (as listed overleaf) _____

* Not more than 3 months old **Validity/Expiry date of proof of address submitted** _____ (DD/MM/YYYY)

4. **Registered Address (if different from above)** :

City/town/village: _____ Pincode: _____ State: _____ Country: _____

5. **Proof of address submitted to be provided by Applicant. Please submit ANY ONE of the following valid document & tick (✓) against the document attached**

* Latest Telephone Bill (Only Landline) * Latest Electricity Bill * Latest Bank Account Statement Registered Lease / Sale Agreement of Office Premises
 Any other proof of address document (as listed overleaf) _____

* Not more than 3 months old **Validity/Expiry date of proof of address submitted** _____ (DD/MM/YYYY)

C. OTHER DETAILS (Please see guidelines overleaf)

1. **Name, PAN, DIN/Aadhaar Number, residential address and photographs of Promoters/Partners/Karta/Trustees/Whole time directors** :
 (Please use the Annexure to fill in the details)

2. **Any other information** : _____

DECLARATION

I / We hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I /We undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am /We may be held liable for it.

Place : _____ Date : _____

NAME & SIGNATURE(S)

**OF AUTHORISED
PERSON(S)**



FOR OFFICE USE ONLY

AMC Intermediary name **OR** code

VRAMATH FINANCIAL SERVICES PVT. LTD.

(Originals verified) Self Certified Document
copies received

(Attested) True copies of documents received

Main Intermediary

INSTRUCTIONS / CHECK LIST FOR FILLING KYC FORM

A. IMPORTANT POINTS:

1. Self attested copy of PAN card is mandatory for all clients.
2. Copies of all the documents submitted by the applicant should be self-attested and accompanied by originals for verification. In case the original of any document is not produced for verification, then the copies should be properly attested by entities authorized for attesting the documents, as per the below mentioned list.
3. If any proof of identity or address is in a foreign language, then translation into English is required.
4. Name & address of the applicant mentioned on the KYC form, should match with the documentary proof submitted.
5. If correspondence & permanent address are different, then proofs for both have to be submitted.
6. Sole proprietor must make the application in his individual name & capacity.
7. For non-residents and foreign nationals, (allowed to trade subject to RBI and FEMA guidelines), copy of passport/ PIOCard/ OCICard and overseas address proof is mandatory.
8. For foreign entities, CIN is optional; and in the absence of DIN no. for the directors, their passport copy should be given.
9. In case of Merchant Navy NRI's, Mariner's declaration or certified copy of CDC (Continuous Discharge Certificate) is to be submitted.
10. For opening an account with Depository participant or Mutual Fund, for a minor, photocopy of the School Leaving Certificate/Mark sheet issued by Higher Secondary Board/Passport of Minor/Birth Certificate must be provided.
11. Politically Exposed Persons (PEP) are defined as individuals who are or have been entrusted with prominent public functions in a foreign country, e.g., Heads of States or of Governments, senior politicians, senior Government/ judicial/ military officers, senior executives of state owned corporations, important political party officials, etc.

B. Proof of Identity (POI) : List of documents admissible as Proof of Identity:

1. PAN card with photograph. This is a mandatory requirement for all applicants except those who are specifically exempt from obtaining PAN (listed in Section D).
2. Unique Identification Number (UID) (Aadhaar) / Passport / Voter ID card/ Driving license.
3. Identity card/ document with applicant's Photo, issued by any of the following: Central/State Government and its Departments Statutory/Regulatory Authorities, Public Sector Undertakings Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities, Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members; and Credit cards/Debit cards issued by Banks.

C. Proof of Address (POA): List of documents admissible as Proof of Address: (*Documents having an expiry date should be valid on the date of submission.)

1. Passport/ Voters Identity Card/ Ration Card/Registered Lease or Sale Agreement

- of Residence/Driving License/Flat Maintenance bill/Insurance Copy.
2. Utility bills like Telephone Bill (only land line), Electricity bill or Gas bill Not more than 3 months old.
3. Bank Account Statement/Passbook - Not more than 3 months old.
4. Self-declaration by High Court and Supreme Court judges, giving the new address in respect of their own accounts.
5. Proof of address issued by any of the following: Bank Managers of Scheduled Commercial Banks / Scheduled Co-Operative Bank / Multinational Foreign Banks / Gazetted Officer / Notary public / Elected representatives to the Legislative Assembly / Parliament/ Documents issued by any Govt. or Statutory Authority.
6. Identity card/document with address, issued by any of the following: Central/State Government and its Departments Statutory/Regulatory Authorities, Public Sector Undertakings Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities and Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members.
7. For FII/sub account, Power of Attorney given by FII/sub-account to the Custodians (which are duly notarized and/or apostilled or consularised) that gives the registered address should be taken.
8. The proof of address in the name of the spouse may be accepted.

D. Exemptions/clarifications to PAN

(*Sufficient documentary evidence in support of such claims to be collected.)

1. In case of transactions undertaken on behalf of Central Government and/or State Government and by officials appointed by Courts e.g. Official liquidator, Court receiver etc.
2. Investors residing in the state of Sikkim.
3. UN entities/multilateral agencies exempt from paying taxes/filing tax returns in India.
4. SIP of Mutual Funds upto Rs 50,000/- p.a.
5. In case of institutional clients, namely, FIIs, Mfs, VCFs, FVCIs, Scheduled Commercial Banks, Multilateral and Bilateral Development Financial Institutions, State Industrial Development Corporations, Insurance Companies registered with IRDA and Public Financial Institution as defined under section 4A of the Companies Act, 1956, Custodians shall verify the PAN card details with the original PAN card and provide duly certified copies of such verified PAN details to the intermediary.

E. List of people authorized to attest the documents:

1. Notary Public, Gazetted Officer, Manager of a Scheduled Commercial/Co-operative Bank or Multinational Foreign Banks (Name, Designation & Seal should be affixed on the copy).
2. In case of NRIs, authorized officials of overseas branches of Scheduled Commercial Banks registered in India, Notary Public, Court Magistrate, Judge, Indian Embassy/Consulate General in the country where the client resides are permitted to attest the documents.

F. In case of Non-Individuals, additional documents to be obtained from non-individuals, over & above the POI & POA, as mentioned below:

Types of entity	Documentary requirements
Corporate	<ul style="list-style-type: none"> • Copy of the balance sheets for the last 2 financial years (to be submitted every year). • Copy of latest share holding pattern including list of all those holding control, either directly or indirectly, in the company in terms of SEBI takeover Regulations, duly certified by the company secretary/Whole time director/MD (to be submitted every year). • Photograph, POI, POA, PAN and DIN numbers of whole time directors/two directors in charge of day to day operations. • Photograph, POI, POA, PAN of individual promoters holding control - either directly or indirectly. • Copies of the Memorandum and Articles of Association and certificate of incorporation. • Copy of the Board Resolution for investment in securities market. • Authorised signatories list with specimen signatures.
Partnership firm	<ul style="list-style-type: none"> • Copy of the balance sheets for the last 2 financial years (to be submitted every year). • Certificate of registration (for registered partnership firms only). • Copy of partnership deed. • Authorised signatories list with specimen signatures. • Photograph, POI, POA, PAN of Partners.
Trust	<ul style="list-style-type: none"> • Copy of the balance sheets for the last 2 financial years (to be submitted every year). • Certificate of registration (for registered trust only). • Copy of Trust deed. • List of trustees certified by managing trustees/CA. • Photograph, POI, POA, PAN of Trustees.
HUF	<ul style="list-style-type: none"> • PAN of HUF. • Deed of declaration of HUF/ List of coparceners. • Bank pass-book/bank statement in the name of HUF. • Photograph, POI, POA, PAN of Karta.
Unincorporated association or a body of individuals	<ul style="list-style-type: none"> • Proof of Existence/Constitution document. • Resolution of the managing body & Power of Attorney granted to transact business on its behalf. • Authorized signatories list with specimen signatures.
Banks/Institutional Investors	<ul style="list-style-type: none"> • Copy of the constitution/registration or annual report/balance sheet for the last 2 financial years. • Authorized signatories list with specimen signatures.
Foreign Institutional Investors (FII)	<ul style="list-style-type: none"> • Copy of SEBI registration certificate. • Authorized signatories list with specimen signatures.
Army/ Government Bodies	<ul style="list-style-type: none"> • Self-certification on letterhead. • Authorized signatories list with specimen signatures.
Registered Society	<ul style="list-style-type: none"> • Copy of Registration Certificate under Societies Registration Act. • List of Managing Committee members. • Committee resolution for persons authorised to act as authorised signatories with specimen signatures. • True copy of Society Rules and Bye Laws certified by the Chairman/Secretary

Details of Promoters / Partners / Karta / Trustees and whole time directors forming a part of Know Your Client (KYC) Application Form for Non-Individuals

Name of Applicant : _____ PAN of the Applicant : _____

Sr. No.	PAN	Name	DIN (For Directors) / Aadhaar Number (For Others)	Residential / Registered Address	Relationship with Applicant (i.e. Promoters, Whole time directors etc.)	Photograph



VRAMATH FINANCIAL SERVICES PVT. LTD.
 Depository Participant : CDSL

Name & Signature of the Authorised Signatory(ies)

Date :

Intentionally Left Blank

For Non-Individuals only Additional KYC Form for Opening a Demat Account

VRAMATH FINANCIAL SERVICES PVT. LTD.		
Depository Participant : CDSL Sebi Regn. No. : IN-DP-CDSL-383-2018		DP Location : 218/7, III Floor, Brindaban,
Regd. Office : 5K, Fifth Floor, B-Block, "Gowri Chitra Gardens",		TV Swamy Road East, R.S.Puram,
No.88/4, Arcot Road, Vadapalani, Chennai - 600 026.		Coimbatore - 641 002.
Phone : 044 - 4201 3026 Telefax : 044 - 4204 8693		Phone : 0422 - 4332342, 4332343
E-mail : dp@vramath.com Web : www.vramath.com		Mobile : 90944 66605

(To be filled by the Depository Participant)

Application No.											Date										
DP Internal Reference No.																					
DP ID	1	2	0	7	8	0	0	0	Client ID												

(To be filled by the applicant in **BLOCK LETTERS** in English)

I / We request you to open a demat Account in my/our name as per the following details :

Holders Details

Sole/ First Holder's Name	Search Name	PAN																		
Second Holder's Name		PAN																		
Third Holder's Name		PAN																		

Name *	
* In case of Firms, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., although the account is opened in the name of natural persons, the name of the Firm, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., Should be mentioned above.	

Type of Account (Please tick whichever is applicable)

Status	Sub - Status
<input type="checkbox"/> Body Corporate <input type="checkbox"/> Banks <input type="checkbox"/> Trust <input type="checkbox"/> Mutual Fund <input type="checkbox"/> OCB <input type="checkbox"/> FII <input type="checkbox"/> CM <input type="checkbox"/> FI <input type="checkbox"/> Clearing House <input type="checkbox"/> Other (specify) : _____	To be filled by the DP
SEBI Registration No. (If Applicable)	SEBI Registration date
RBI Registration No. (If Applicable)	RBI Approval date
Nationality	<input type="checkbox"/> Indian <input type="checkbox"/> Others(specify) _____

I / We instruct the DP to receive each and every credit in my / our account (If not marked, the default option would be 'Yes')	[Automatic Credit] <input type="checkbox"/> Yes <input type="checkbox"/> No
Account Statement Required	<input type="checkbox"/> As per SEBI Regulation <input type="checkbox"/> Daily <input type="checkbox"/> Weekly <input type="checkbox"/> Fortnightly <input type="checkbox"/> Monthly
I / We request you to send Electronic Transaction-cum-Holding statement at the email ID :	<input type="checkbox"/> Yes <input type="checkbox"/> No

Clearing Member Details (To be filled by CMs only)

Name of Stock Exchange			
Name of CC / CH			
Clearing Member ID	Trading Member ID		
Do you wish to receive dividend / interest directly in to your bank account given below through ECS? (If not marked, the default option would be 'Yes')		<input type="checkbox"/> Yes <input type="checkbox"/> No	
[ECS is mandatory for locations notified by SEBI from time to time]			



Signatures

Sole / First Holder



Second Holder



Third Holder

OTHER DETAILS

Gross Annual Income	<input type="checkbox"/> < Rs.1 Lac	<input type="checkbox"/> Rs.1-5 Lac	<input type="checkbox"/> Rs.5-10 Lac	<input type="checkbox"/> Rs.10-25 Lac	<input type="checkbox"/> Rs.25 Lac - 1 crore	<input type="checkbox"/> > Rs.1 crore	Rs.Specify.....
(OR) Networth as on (Date) (dd/mm/yyyy) : Rs. (*Net worth should not be older than 1 year)							

Bank Details [Dividend Bank Details]




Bank Code (9 digit MICR code)										
IFS Code (11 character)										
Account number										
Account Type	<input type="checkbox"/> Saving			<input type="checkbox"/> Current			<input type="checkbox"/> Others (Specify) :			
Bank Name										
Branch Name										
Bank Address										
City		State		Country		Pincode				

Submit Bank proof as per following :

- (I) Photocopy of the cancelled cheque having the name of the account holder where the cheque book is issued, (or)
 - (ii) Photocopy of the Bank Statement having name and address of the BO , (or)
 - (iii) Photocopy of the Passbook having name and address of the BO, (or)
 - (iv) Letter from the Bank.
- ⇒ In case of options (ii), (iii) and (iv) above, MICR code of the branch should be present / mentioned on the document and it should be self-certified by the BO.

SMS Alert Facility Refer to Terms & Conditions given as Annexure - 2.4	Mobile No. +91_____	
	[Mandatory, if you are giving Power of Attorney (POA)] (If POA is not granted & you do not wish to avail of this facility, cancel this option)	
<i>Easi</i>	To register for <i>easi</i> , please visit CDSL's website : www.cdslindia.com <i>Easi</i> allows a BO to view his ISIN balances, transactions and value of the Portfolio online.	

I/We have read the DP-BO agreement (DP-CM agreement for BSE Clearing Member Accounts) including the schedules thereto and the terms & conditions and agree to abide by and be bound by the same and by the Bye Laws as are in force from time to time. I / We declare that the particulars given by me/us above are true and to the best of my/our knowledge as on the date of making this application. I / We agree and undertake to intimate the DP any change(s) in the details / Particulars mentioned by me / us in this form. I/We further agree that any false / misleading information given by me / us or suppression of any material information will render my account liable for termination and suitable action. I / We acknowledge the receipt of copy of the document, " Rights and Obligations of the Beneficial Owner and Depository Participant".

	Sole / First Authorised Signatory	Second Authorised Signatory	Third Authorised Signatory
Name			
Designation			
Signatures	 S2		

(In case of more authorised signatories, please add annexure)

(Signatures should be preferably in black ink).

Rights and Obligations of Beneficial Owner and Depository Participant as prescribed by SEBI and Depositories

General Clause

1. The Beneficial Owner and the Depository participant (DP) shall be bound by the provisions of the Depositories Act, 1996, SEBI (Depositories and Participants) Regulations, 1996, Rules and Regulations of Securities and Exchange Board of India (SEBI), Circulars/Notifications/Guidelines issued there under, Bye Laws and Business Rules/Operating Instructions issued by the Depositories and relevant notifications of Government Authorities as may be in force from time to time.
2. The DP shall open/activate demat account of a beneficial owner in the depository system only after receipt of complete Account opening form, KYC and supporting documents as specified by SEBI from time to time.

Beneficial Owner information

3. The DP shall maintain all the details of the beneficial owner(s) as mentioned in the account opening form, supporting documents submitted by them and/or any other information pertaining to the beneficial owner confidentially and shall not disclose the same to any person except as required by any statutory, legal or regulatory authority in this regard.
4. The Beneficial Owner shall immediately notify the DP in writing, if there is any change in details provided in the account opening form as submitted to the DP at the time of opening the demat account or furnished to the DP from time to time.

Fees/Charges/Tariff

5. The Beneficial Owner shall pay such charges to the DP for the purpose of holding and transfer of securities in dematerialized form and for availing depository services as may be agreed to from time to time between the DP and the Beneficial Owner as set out in the Tariff Sheet provided by the DP. It may be informed to the Beneficial Owner that "*no charges are payable for opening of demat accounts*"
6. In case of Basic Services Demat Accounts, the DP shall adhere to the charge structure as laid down under the relevant SEBI and/or Depository circulars/directions/notifications issued from time to time.
7. The DP shall not increase any charges/tariff agreed upon unless it has given a notice in writing of not less than thirty days to the Beneficial Owner regarding the same.

Dematerialization

8. The Beneficial Owner shall have the right to get the securities, which have been admitted on the Depositories, dematerialized in the form and manner laid down under the Bye Laws, Business Rules and Operating Instructions of the depositories.

Separate Accounts

9. The DP shall open separate accounts in the name of each of the beneficial owners and securities of each beneficial owner shall be segregated and shall not be mixed up with the securities of other beneficial owners and/or DP's own securities held in dematerialized form.
10. The DP shall not facilitate the Beneficial Owner to create or permit any pledge and /or hypothecation or any other interest or encumbrance over all or any of such securities submitted for dematerialization and/or held in demat account except in the form and manner prescribed in the Depositories Act, 1996, SEBI (Depositories and Participants) Regulations, 1996 and Bye-Laws/Operating Instructions/Business Rules of the Depositories.

Transfer of Securities

11. The DP shall effect transfer to and from the demat accounts of the Beneficial Owner only on the basis of an order, instruction, direction or mandate duly authorized by the Beneficial Owner and the DP shall maintain the original documents and the audit trail of such authorizations.
12. The Beneficial Owner reserves the right to give standing instructions with regard to the crediting of securities in his demat account and the DP shall act according to such instructions.

Statement of account

13. The DP shall provide statements of accounts to the beneficial owner in such form and manner and at such time as agreed with the Beneficial Owner and as specified by SEBI/depository in this regard.
14. However, if there is no transaction in the demat account, or if the balance has become Nil during the year, the DP shall send one physical statement of holding annually to such Bos and shall resume sending the transaction statement as and when there is a transaction in the account.
15. The DP may provide the services of issuing the statement of demat accounts in an electronic mode if the Beneficial Owner so desires. The DP will furnish to the Beneficial Owner the statement of demat accounts under its digital signature, as governed under the Information Technology Act, 2000. However if the DP does not have the facility of providing the statement of demat account in the electronic mode, then the Participant shall be obliged to forward the statement of demat accounts in physical form.
16. In case of Basic Services Demat Accounts, the DP shall send the transaction statements as mandated by SEBI and/or Depository from time to time.

 S3

Signatures

Sole / First Holder



Second Holder



Third Holder

Manner of Closure of Demat account

17. The DP shall have the right to close the demat account of the Beneficial Owner, for any reasons whatsoever, provided the DP has given a notice in writing of not less than thirty days to the Beneficial Owner as well as to the Depository. Similarly, the Beneficial Owner shall have the right to close his/her demat account held with the DP provided no charges are payable by him/her to the DP. In such an event, the Beneficial Owner shall specify whether the balances in their demat account should be transferred to another demat account of the Beneficial Owner held with another DP or to rematerialize the security balances held.
18. Based on the instructions of the Beneficial Owner, the DP shall initiate the procedure for transferring such security balances or rematerialize such security balances within a period of thirty days as per procedure specified from time to time by the depository. Provided further, closure of demat account shall not affect the rights, liabilities and obligations of either the Beneficial Owner or the DP and shall continue to bind the parties to their satisfactory completion.

Default in payment of charges

19. In event of Beneficial Owner committing a default in the payment of any amount provided in Clause 5 & 6 within a period of thirty days from the date of demand, without prejudice to the right of the DP to close the demat account of the Beneficial Owner, the DP may charge interest at a rate as specified by the Depository from time to time for the period of such default.
20. In case the Beneficial Owner has failed to make the payment of any of the amounts as provided in Clause 5&6 specified above, the DP after giving two days notice to the Beneficial Owner shall have the right to stop processing of instructions of the Beneficial Owner till such time he makes the payment along with interest, if any.

Liability of the Depository

21. As per Section 16 of Depositories Act, 1996,
 1. Without prejudice to the provisions of any other law for the time being in force, any loss caused to the beneficial owner due to the negligence of the depository or the participant, the depository shall indemnify such beneficial owner.
 2. Where the loss due to the negligence of the participant under Clause (1) above, is indemnified by the depository, the depository shall have the right to recover the same from such participant.

Freezing/ Defreezing of accounts

22. The Beneficial Owner may exercise the right to freeze/defreeze his/her demat account maintained with the DP in accordance with the procedure and subject to the restrictions laid down under the Bye Laws and Business Rules/Operating Instructions.
23. The DP or the Depository shall have the right to freeze/defreeze the accounts of the Beneficial Owners on receipt of instructions received from any regulator or court or any statutory authority.

Redressal of Investor grievance

24. The DP shall redress all grievances of the Beneficial Owner against the DP within a period of thirty days from the date of receipt of the complaint.

Authorized representative

25. If the Beneficial Owner is a body corporate or a legal entity, it shall, along with the account opening form, furnish to the DP, a list of officials authorized by it, who shall represent and interact on its behalf with the Participant. Any change in such list including additions, deletions or alterations thereto shall be forthwith communicated to the Participant.

Law and Jurisdiction

26. In addition to the specific rights set out in this document, the DP and the Beneficial owner shall be entitled to exercise any other rights which the DP or the Beneficial Owner may have under the Rules, Bye Laws and Regulations of the respective Depository in which the demat account is opened and circulars/notices issued there under or Rules and Regulations of SEBI.
27. The provisions of this document shall always be subject to Government notification, any rules, regulations, guidelines and circulars/ notices issued by SEBI and Rules, Regulations and Bye-laws of the relevant Depository, where the Beneficial Owner maintains his/ her account, that may be in force from time to time.
28. The Beneficial Owner and the DP shall abide by the arbitration and conciliation procedure prescribed under the Bye-laws of the depository and that such procedure shall be applicable to any disputes between the DP and the Beneficial Owner.
29. Words and expressions which are used in this document but which are not defined herein shall unless the context otherwise requires, have the same meanings as assigned thereto in the Rules, Bye-laws and Regulations and circulars/notices issued there under by the depository and /or SEBI.
30. Any changes in the rights and obligations which are specified by SEBI/Depositories shall also be brought to the notice of the clients at once.
31. If the rights and obligations of the parties hereto are altered by virtue of change in Rules and regulations of SEBI or Bye-laws, Rules and Regulations of the relevant Depository, where the Beneficial Owner maintains his/her account, such changes shall be deemed to have been incorporated herein in modification of the rights and obligations of the parties mentioned in this document.

 S4





Signatures

Sole / First Holder

Second Holder

Third Holder

ANNEXURE - 2.4

Terms And Conditions-cum-Registration Form for receiving SMS Alerts from CDSL [SMS Alerts will be sent by CDSL to Bos for all debits and for all credits as well.]

Definitions:

In these Terms and Conditions the terms shall have following meaning unless indicated otherwise:

1. "Depository" means Central Depository (India) Limited, a company incorporated in India under the Companies Act 1956 and having its registered office at 17th Floor, P.J. Towers, Dalal Street, Fort, Mumbai - 400 001 and all its branch offices and includes its successors and assigns.
2. 'DP' means Depository Participant of CDSL. The term covers all types of Dps who are allowed to open demat accounts for investors.
3. 'BO' means an entity that has opened a demat account with the depository. The term covers all types of demat accounts, which can be opened with a depository as specified by the depository from time to time.
4. SMS means "Short Messaging Service"
5. "Alerts" means a customized SMS sent to the BO over the said mobile phone number.
6. "Service Provider" means a cellular service provider(s) with whom the depository has entered / will be entering into an arrangement for providing the SMS alerts to the BO.
7. "Service" means the service of providing SMS alerts to the BO on best effort basis as per these terms and conditions.

Availability:

1. The service will be provided to the BO at his / her request and at the discretion of the depository. The service will be available to those account holders who have provided their mobile numbers to the depository through their DP. The services may be discontinued for a specific period / indefinite period, with or without issuing any prior notice for the purpose of security reasons or system maintenance or for such other reasons as may be warranted. The depository may also discontinue the service at any time without giving prior notice for any reason whatsoever.
2. The service is currently available to the BOs who are residing in India.
3. The alerts will be provided to the BOs only if they remain within the range of the service provider's service area or within the range forming part of the roaming network of the service provider.
4. In case of joint accounts and non-individual accounts the service will be available, only to one mobile number i.e. to the mobile number as submitted at the time of registration / modification.
5. The BO is responsible for promptly intimating to the depository in the prescribed manner any change in mobile number, or loss of handset, on which the BO wants to receive the alerts from the depository. In case of change in mobile number not intimated to the depository, the SMS alerts will continue to be sent to the last registered mobile phone number. The BO agrees to indemnify the depository for any loss or damage suffered by it on account of SMS alerts sent on such mobile number.

Receiving Alerts:

1. The depository shall send the alerts to the mobile phone number provided by the BO while registering for the service or to any such number replaced and informed by the BO from time to time. Upon such registration / change, the depository shall make every effort to update the change in mobile number within a reasonable period of time. The depository shall not be responsible for any event of delay or loss of message in this regard.
2. The BO acknowledges that the alerts will be received only if the mobile phone is in 'ON' and in a mode to receive the SMS. If the mobile phone is in 'Off' mode i.e. unable to receive the alerts then the BO may not get / get after delay any alerts sent during such period.
3. The BO also acknowledges that the readability, accuracy and timeliness of providing the service depend on many factors including the infrastructure, connectivity of the service provider. The depository shall not be responsible for any non-delivery, delayed delivery or distortion of the alert in any way whatsoever.
4. The BO further acknowledges that the service provided to him is an additional facility provided for his convenience and is susceptible to error, omission and/ or inaccuracy. In case the BO observes any error in the information provided in the alert, the BO shall inform the depository immediately in writing and the depository will make best possible efforts to rectify the error as early as possible. The BO shall not hold the depository liable for any loss, damages, etc. that may be incurred/ suffered by the BO on account of opting to avail SMS alerts facility.
5. The BO authorizes the depository to send any message such as promotional, greeting or any other message that the depository may consider appropriate, to the BO. The BO agrees to an ongoing confirmation for use of name, email address and mobile number for marketing offers from the CDSL and any other entity.
6. **The BO agrees to inform the depository and DP in writing of any unauthorized debit to his Bo account / unauthorized transfer of securities from his BO account, immediately, which may come to his knowledge on receiving SMS alerts. The BO may send an email to CDSL at complaints@cdslindia.com. The BO is advised not to inform the service provider about such unauthorized debit to / transfer of securities from his BO account by sending a SMS back to the service provider as there is no reverse communication between the service provider and the depository.**
7. The information sent as an alert on the mobile phone number shall be deemed to have been received by the BO and the depository shall not be under any obligation to confirm the authenticity of the person(s) receiving the alert.
8. The depository will make best efforts to provide the service. The BO cannot hold the depository liable for non-availability of the service in any manner whatsoever.
9. If the BO finds that the information such as mobile number etc., has been changed with out proper authorization, the BO should immediately inform the DP in writing.

Fees:

Depository reserves the right to charge such fees from time to time as it deems fit for providing this service to the BO.

Disclaimer:

The depository shall make reasonable efforts to ensure that the BO's personal information is kept confidential. The depository does not warranty the confidentiality or security of the SMS alerts transmitted through a service provider. Further, the depository makes no warranty or representation of any kind in relation to the system and the network or their function or their performance or for any loss or damage whenever and howsoever suffered or incurred by the BO or by any person resulting from or in connection with availing of SMS alerts facility. The Depository gives no warranty with respect to the quality of the service provided by the service provider. The Depository will not be liable for any unauthorized use or access to the information and/ or SMS alert sent on the mobile phone number of the BO or for fraudulent, duplicate or erroneous use/ misuse of such information by any third person.

Liability and Indemnity:

The Depository shall not be liable for any breach of confidentiality by the service provider or by any third person due to unauthorized access to the information meant for the BO. In consideration of the depository providing the service, the BO agrees to indemnify and keep safe, harmless and indemnified the depository and its officials from any damages, claims, demands, proceedings, loss, cost, charges and expenses whatsoever which a depository may at any time incur, sustain, suffer or be put to as a consequence of or arising out of interference with or misuse, improper or fraudulent use of the service by the BO.

Amendments:

The depository may amend the terms and conditions at any time with or without giving any prior notice to the BOs. Any such amendments shall be binding on the BOs who are already registered as user of this service.

Governing Law and Jurisdiction:

Providing the Service as outlined above shall be governed by the laws of India and will be subject to the exclusive jurisdiction of the courts in Mumbai.

I/We wish to avail the SMS Alerts facility provided by the depository on my/our mobile number provided in the registration form subject to the terms and conditions mentioned below. **I / We consent to CDSL providing to the service provider such information pertaining to account/transactions in my/our account as is necessary for the purposes of generating SMS Alerts by service provider, to be sent to the said mobile number.**

I/We have read and understood the terms and conditions mentioned above and agree to abide by them and any amendments thereto made by the depository from time to time. I/ we further undertake to pay fee/ charges as may be levied by the depository from time to time.

I/We further understand that the SMS alerts would be sent for a maximum four ISINs at a time. If more than four debits take place, the Bos would be required to take up the matter with their DP.

I/We am/ are aware that mere acceptance of the registration form does not imply in any way that the request has been accepted by the depository for providing the service.

I/We provide the following information for the purpose of **REGISTRATION / MODIFICATION** (Please cancel out what is not applicable)

BO ID	1	2	0	7	8	0	0	0											
-------	---	---	---	---	---	---	---	---	--	--	--	--	--	--	--	--	--	--	--

(Please write your 8 digit DP ID)

(Please write your 8 digit Client ID)

Sole/ First Holder's Name : _____

Second Holder's Name : _____

Third Holder's Name : _____

Mobile Number on which messages are to be sent

+91																			
-----	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--

(Please write only the mobile number without prefixing country code or zero)

The mobile number is registered in the name of : _____

Email ID : _____

(Please write only ONE valid email ID on which communication; if any, is to be sent)



Signatures

Sole / First Holder

Second Holder

Third Holder

Place : _____

POWER OF ATTORNEY

TO ALL TO WHOM THESE PRESENTS SHALL COME, I/WE _____ (Name of the Beneficial Owner(s), residing at/having its registered office at _____, send greetings.

Whereas I/We hold beneficial owner account number _____ with Central Depository Services (India) Limited (CDSL), through VRAMATH FINANCIAL SERVICES PVT. LTD., a depository participant registered with Securities and Exchange Board of India (SEBI) as Depository Participant having DP ID - 12078000 and SEBI Registration No. IN-DP-CDSL-383-2018.

And Whereas I am / We are desirous to buy and sell securities through VRAMATH FINANCIAL SERVICES PVT. LTD., who is a stock broker registered with SEBI and Member of recognized stock exchange(s).

And Whereas I am / We are desirous of appointing VRAMATH FINANCIAL SERVICES PVT. LTD., as my/our constituted attorney to operate my / our beneficial owner account on my / our behalf for a limited purpose in the manner herein after appearing and subject to conditions as provided herein.

Now know you all and these presents witness that I / We do hereby nominate, constitute and appoint VRAMATH FINANCIAL SERVICES PVT. LTD., (hereinafter referred to as "the stock broker") as my/our true and lawful attorney and authorize it to perform the following functions on my / our behalf:

i. to transfer securities held in my / our aforementioned beneficial owner account(s) or any other account (as per the Schedule I attached) of the stock broker maintained for the purpose of settlement of trades and margin obligations arising out of trades executed by me / us on any recognized stock exchange through the stock broker. However, the said power will be restricted to only transfer of securities towards margin / delivery obligations arising out of trades executed by me / us through the stock broker on any recognized stock exchange, provided that I / We have executed KYC & Demat / Trading account with the stock broker and opted for such exchanges.

In the event of the Stock Broker acquiring membership of any new Exchange or any Segment of any new / existing Exchange and in the event of my /our executing transactions after executing prescribed KYC with the Stock Broker for such new Segment(s) / Exchange(s), the relevant demat account operated by the Stock Broker shall be added to the above referred list; and I / We shall be deemed to have consented to such addition(s).

ii. for meeting obligations arising out of any subscribing to such other products / facilities / service through the stock broker like Mutual Funds, Public Issues (shares as well as debentures), rights, offer of shares, etc.

iii. towards monies / fees / charges etc. Due to the stock broker payable by virtue of my/our using / subscribing to any of the facilities / services availed by me/us at his / her instance.

iv. to pledge the securities in favour of the Stock Broker for the limited purpose of meeting my / our margin requirement in connection with the trades executed by me / us on any recognized stock exchange through the stock broker.

v. to redeem mutual fund units held by me/us in demat form in my / our above beneficiary account in accordance with my / our instructions and to execute DIS for meeting obligations arising out of such instructions.

S7



Signatures

Sole / First Holder



Second Holder




Third Holder

- vi. to return to me / us the securities or funds that may have been received by the stock broker erroneously or those securities or funds that the stock broker was not entitled to receive from me / us.
- vii. to send consolidated summary of my/our scrip-wise buy and sell positions taken with average rates to me / us by way of SMS / email on a daily basis, not withstanding any other document to be disseminated as specified by SEBI from time to time.
- viii. to apply for various products like Mutual Funds, Public issues (shares as well as debentures), rights, offer of shares, tendering shares in open offers etc pursuant to oral / written / electronic instructions given by me / us to the stock broker.

I / We ratify the instructions given by the aforesaid stock broker to the depository participant named herein above in the manner specified herein.

I / We further agree and confirm that the powers and authorities conferred by this Power of Attorney shall continue until it is revoked (without notice) in writing by me / us and that the said revocation shall be effective from the date on which the revocation notice is received by the stock broker in his office at **VRAMATH FINANCIAL SERVICES PVT. LTD.** having its registered office at **5K, Fifth Floor, B-Block, "Gowri Chitra Gardens", No.88/4, Arcot Road, Vadapalani, Chennai - 600 026.** dt. _____

Signed and delivered by the within named Beneficial Owner(s)

	Sole / First Holder	Second Holder	Third Holder
Name			
Signature	<i>S8</i> 		

In the presence of Witness *(Name & Address of witness)

(Name of Witness) : _____

Address : _____

Date : _____ Place : _____

(Signature of Witness)

We Accept

For **VRAMATH FINANCIAL SERVICES PVT. LTD.**

Authorised Signatory

Place : _____

Date : _____

* Witness signature compulsory.

SCHEDULE - I

ACCOUNT TYPE	DEPOSITORY NAME	DP - ID	DP NAME	Client - ID
Clearing member account (POOL Account for NSE)	CDSL	12078000	VRAMATH FINANCIAL SERVICES P LTD.	0000021
Clearing member account (PRINCIPAL Account for BSE)	CDSL	12078000	VRAMATH FINANCIAL SERVICES P LTD.	00000148

VRAMATH FINANCIAL SERVICES PVT. LTD.

Depository Participant : CDSL Sebi Regn. No. : IN-DP-CDSL-383-2018 DP ID : 12078000

Regd. Office : 5K, Fifth Floor, B-Block, "Gowri Chitra Gardens",

No.88/4, Arcot Road, Vadapalani, Chennai - 600 026.

Phone : 044 - 4201 3026 Telefax : 044 - 4204 8693

E-mail : dp@vramath.com Web : www.vramath.com

DP Location : 218/7, III Floor, Brindaban,

TV Swamy Road East, R.S.Puram, Coimbatore - 641 002.

Phone : 0422 - 4332342, 4332343 Mobile : 90944 66605

TARIFF CARD FOR BENEFICIARY OWNER ACCOUNT HOLDERS :




SERIAL. No.	ITEM	TARIFF (Rs.)
1.	Account Opening Charges	Nil
2.	Cost of Application	Nil
3.	Annual Maintenance Charges - Individual	Rs. 500/-
4.	Annual Maintenance Charges - Non Individual	Rs. 1000/-
5.	Custody Charges	Nil
6.	Dematerialisation Charges (Per Certificate)	Rs. 2/-
7.	Postage per Demat request	Actuals
8.	Rematerialisation Charges and Postage	Rs. 30/- (per 100 shares) + Actuals (Postage)
9.	Sale Transactions (includes failed transaction)	Minimum Rs. 25/- per instruction or 0.020% per Scrip whichever is higher
10.	Same Day Execution - Per Instruction / Per Scrip	Rs. 50/- or 0.025% whichever is higher
11.	Pledging & Unpledging Charges Per Scrip	Rs. 27/-
12.	Pledge Invocation Charges	Rs. 20/-
13.	Miscellaneous Charges	Actuals, POA charges Rs.100/-

Notes :

- Annual Maintenance Charges are non-refundable and are levied upfront for a period of one year from the date of opening the account.
- Late transaction charges would be collected for all instruction received after 4 p.m. for same day execution or execution date as pay-in date.
- All charges will be collected upfront. BO are requested to maintain sufficient credit balance in demat account in order to avoid non execution of delivery instruction (DIS) / any other instruction(s).
- Interest @ 18% p.a. will be charged for non payment of bills.
- The DP will not be responsible for any claims in case of instruction received late i.e., On the same day for pay-in
- VRAMATH FINANCIAL SERVICES PVT. LTD. Reserves the right to change the rates from time to time by giving notice.
- Any service not covered above will be charged separately.
- All taxes / levies / cess as applicable.

*** The above charges are exclusive of Service Tax and other levies by Government bodies / statutory authority from time to time.

*** Cheque / DD to be issued in favour of " VRAMATH FINANCIAL SERVICES PVT. LTD".

	Sole / First Holder	Second Holder	Third Holder
Name			
Signature	 S9		

DIGITAL STATEMENT

To,

VRAMATH FINANCIAL SERVICES PVT. LTD.
5K, Fifth Floor, B-Block, "Gowri Chitra Gardens",
No.88/4, Arcot Road, Vadapalani, Chennai - 600 026.

Date : _____

Reg : Beneficial Owner (BO) Account No. _____

I / We _____

[Name(s) of the BO(s)] had entered into agreement dated _____ with you at the time of opening of the aforesaid BO account.

Pursuant to the amendment in Clause 3 of the agreement (Annexure C to the Bye Laws of CDSL), I / We confirm having opted to receive the statement of accounts pertaining to the above mentioned BO account in electronic mode in lieu of physical copy of the statement of account.

I / We confirm that the dispatch of statement of account to me / us at the following email address shall constitute full and absolute discharge of your obligation under the above agreement to provide me / us with statement of my / our BO account. But I / We reserve my / our right to receive the physical copy of statement of accounts despite receiving the same in electronic mode, if such a demand is made in writing on you.

[E-mail address : _____]

I / We confirm that any change in the aforesaid email address or any other instructions with regard to dispatch / service of my / our statement of account on me / us shall not be binding upon you unless you are intimated in writing by me / us by acknowledged delivery.

Yours faithfully,

	Sole / First Holder	Second Holder	Third Holder
Name			
Signature	<i>S10</i>		

* Note : Mobile No. & E-Mail ID - Mandatory

VRAMATH FINANCIAL SERVICES PVT. LTD.	
Depository Participant : CDSL Sebi Regn. No. : IN-DP-CDSL-383-2018 DP ID : 12078000 Regd. Office : 5K, Fifth Floor, B-Block, "Gowri Chitra Gardens", No.88/4, Arcot Road, Vadapalani, Chennai - 600 026. Phone : 044 - 4201 3026 Telefax : 044 - 4204 8693 E-mail : dp@vramath.com Web : www.vramath.com	DP Location : 218/7, III Floor, Brindaban, TV Swamy Road East, R.S.Puram, Coimbatore - 641 002. Phone : 0422 - 4332342, 4332343 Mobile : 90944 66605

Acknowledgement Receipt

Application No.		Date							
-----------------	--	------	--	--	--	--	--	--	--

I/We hereby acknowledge the receipt of duly executed copy of KYC.

I/We hereby acknowledge the receipt of duly executed copy of Rights and Obligations.

I/We hereby acknowledge the receipt of Delivery Instruction Slips (DIS), if opted.

I/We hereby acknowledge the receipt of Tariff Sheet & copy of all other documents as executed by me/us.

	Sole / First Holder	Second Holder	Third Holder
Name			
Signature	<i>S11</i>		

OPTION FORM FOR ISSUE OF DIS BOOKLET

										Date							
DP ID	1	2	0	7	8	0	0	0	0	Client ID							
Sole/ First Holder's Name																	
Second Holder's Name																	
Third Holder's Name																	

To

VRAMATH FINANCIAL SERVICES PVT. LTD.

5K, Fifth Floor, B-Block, "Gowri Chitra Gardens",
No.88/4, Arcot Road, Vadapalani, Chennai - 600 026.




Dear Sir / Madam,

I / We hereby state that: [Select one of the options given below]

 OPTION 1:

I / We require you to issue Delivery Instruction Slip (DIS) booklet to me / us immediately on opening my / our CDSL account though I/we have issued a Power of Attorney (POA) in favour of / with VRAMATH FINANCIAL SERVICES PVT. LTD. (Clearing Member) for executing delivery instructions for setting stock exchange trades_[settlement related transactions] effected through such Clearing Member.




Yours faithfully,

	First / Sole Holder	Second Holder	Third Holder
Name			
Signatures	 S12		

OR **OPTION 2:**

I / We do not require the Delivery Instruction Slip (DIS) for the time being, since I / We have issued a POA agreement in favour of / with VRAMATH FINANCIAL SERVICES PVT. LTD. (Clearing Member) for executing delivery instructions for setting stock exchange trades [settlement related transactions] effected through such Clearing Member. However, the Delivery Instruction Slip (DIS) booklet should be issued to me / us immediately on my / our request at any later date.

Yours faithfully,

	First / Sole Holder	Second Holder	Third Holder
Name			
Signatures	 S12		

Intentionally Left Blank

VRAMATH FINANCIAL SERVICES PVT. LTD.

Depository Participant : CDSL Sebi Regn. No. : IN-DP-CDSL-383-2018 DP ID : 12078000

TARIFF CARD FOR BENEFICIARY OWNER ACCOUNT HOLDERS :

SERIAL. No.	ITEM	TARIFF (Rs.)
1.	Account Opening Charges	Nil
2.	Cost of Application	Nil
3.	Annual Maintenance Charges - Individual	Rs. 500/-
4.	Annual Maintenance Charges - Non Individual	Rs. 1000/-
5.	Custody Charges	Nil
6.	Dematerialisation Charges (Per Certificate)	Rs. 2/-
7.	Postage per Demat request	Actuals
8.	Rematerialisation Charges and Postage	Rs. 30/- (per 100 shares) + Actuals (Postage)
9.	Sale Transactions (includes failed transaction)	Minimum Rs. 25/- per instruction or 0.020% per Scrip whichever is higher
10.	Same Day Execution - Per Instruction / Per Scrip	Rs. 50/- or 0.025% whichever is higher
11.	Pledging & Unpledging Charges Per Scrip	Rs. 27/-
12.	Pledge Invocation Charges	Rs. 20/-
13.	Miscellaneous Charges	Actuals, POA charges Rs.100/-

Notes :

1. Annual Maintenance Charges are non-refundable and are levied upfront for a period of one year from the date of opening the account.
2. Late transaction charges would be collected for all instruction received after 4 p.m. for same day execution or execution date as pay-in date.
3. All charges will be collected upfront. BO are requested to maintain sufficient credit balance in demat account in order to avoid non execution of delivery instruction (DIS) / any other instruction(s).
4. Interest @ 18% p.a. will be charged for non payment of bills.
5. The DP will not be responsible for any claims in case of instruction received late i.e., On the same day for pay-in
6. VRAMATH FINANCIAL SERVICES PVT. LTD. reserves the right to change the rates from time to time by giving notice.
7. Any service not covered above will be charged separately.
8. All taxes / levies / cess as applicable.

*** The above charges are exclusive of Service Tax and other levies by Government bodies / statutory authority from time to time.

*** Cheque / DD to be issued in favour of "VRAMATH FINANCIAL SERVICES PVT. LTD".

VRAMATH FINANCIAL SERVICES PVT. LTD.

Depository Participant : CDSL Sebi Regn. No. : IN-DP-CDSL-383-2018 DP ID : 12078000
Regd. Office : 5K, Fifth Floor, B-Block, "Gowri Chitra Gardens",
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Coimbatore - 641 002.
Phone : 0422 - 4332342, 4332343
Mobile : 90944 66605

Acknowledgement Receipt

Application No.		Date																	
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We hereby acknowledge the receipt of the Account Opening Form.

We hereby acknowledge the receipt of Nomination for registration : yes / No

Received OPTION FORM FOR ISSUE / NON ISSUE OF DIS BOOKLET FROM :

Sole/ First Holder's Name	
Second Holder's Name	
Third Holder's Name	

Depository Participant seal and signature